



Audit and Risk Management Committee

Date: TUESDAY, 18 JANUARY 2022

Time: 2.00 pm

Venue: INFORMAL VIRTUAL PUBLIC MEETING (ACCESSIBLE REMOTELY)

Members:	Alexander Barr (Chairman)	Deputy Jamie Ingham Clark (Ex-Officio Member)
	Hilary Daniels (Deputy Chairman)	Gail Le Coz (External Member)
	Alderman Prem Goyal (Deputy Chairman)	Paul Martinelli
	Rehana Ameer	Jeremy Mayhew (Ex-Officio Member)
	Randall Anderson	Deputy Andrien Meyers
	Christopher Boden	John Petrie
	Anne Fairweather	Ruby Sayed
	Marianne Fredericks (Ex-Officio Member)	Dan Worsley (External Member)

Enquiries: Ben Dunleavy
ben.dunleavy@cityoflondon.gov.uk

Accessing the virtual public meeting

Members of the public can observe this public meeting at the below link:
<https://youtu.be/xjamOgcoLcA>

This meeting will be a virtual meeting with participation virtually. Any views reached by the Committee today will have to be considered by the Chamberlain after the meeting in accordance with the Court of Common Council's Covid Approval Procedure who will make a formal decision having considered all relevant matters. This process reflects the current position in respect of the holding of formal Local Authority meetings and the Court of Common Council's decision of 16th December 2021, to recommence hybrid meetings and take formal decisions through a delegation to the Town Clerk and other officers nominated by him after the informal meeting has taken place and the will of the Committee is known in open session. Details of all decisions taken under the Covid Approval Procedure will be available online via the City Corporation's webpages.

A recording of the public meeting will be available via the above link following the end of the public meeting for up to one municipal year. Please note: Online meeting recordings do not constitute the formal minutes of the meeting; minutes are written and are available

on the City of London Corporation's website. Recordings may be edited, at the discretion of the proper officer, to remove any inappropriate material.

John Barradell
Town Clerk and Chief Executive

AGENDA

Part 1 - Public Agenda

1. **APOLOGIES**

2. **MEMBERS' DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA**

3. **MINUTES OF THE PREVIOUS MEETING**

To agree the public minutes and non-public summary of the previous meeting held on 30 November 2021.

For Decision
(Pages 7 - 12)

4. **OUTSTANDING ACTIONS OF THE COMMITTEE**

Member are asked to note the Committee's Outstanding Actions List.

For Information
(Pages 13 - 14)

5. **COMMITTEE WORK PROGRAMME**

Member are asked to note the Committee's Work Programme.

For Information
(Pages 15 - 16)

Governance

6. **EXTERNAL MEMBER RECRUITMENT**

The Town Clerk to be heard.

For Decision

7. **ESTABLISHING A CHARITY RISK MANAGEMENT PROTOCOL**

Report of the Managing Director of Bridge House Estates & Chief Charities Officer.

For Decision
(Pages 17 - 34)

Internal Audit

8. **INTERNAL AUDIT 2022/23 PROGRAMME OF WORK**

Report of the Head of Audit and Risk Management.

For Information
(Pages 35 - 44)

9. **INTERNAL AUDIT UPDATE**

Report of the Head of Audit and Risk Management.

For Information
(Pages 45 - 54)

Risk Management

10. **RISK MANAGEMENT UPDATE**

Report of the Chamberlain.

For Information
(Pages 55 - 98)

11. **DEEP DIVE RISK REVIEWS**

For Information

- a) Deep Dive: CR01 Resilience (Town Clerk's) - TO FOLLOW
Report of the Town Clerk.

External Inspections

12. **RISK MANAGEMENT HEALTH CHECK**

Report of the Chamberlain.

For Information
(Pages 99 - 108)

13. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**

14. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT**

15. **EXCLUSION OF THE PUBLIC**

MOTION, that – under Section 100(A) of the Local Government Act 1972, the public be excluded from the meeting for the following items on the grounds that they involve the likely disclosure of exempt information as defined in Part I of the Schedule 12A of the Local Government Act.

For Decision

Part 2 - Non-Public Agenda

16. **NON-PUBLIC MINUTES OF THE PREVIOUS MEETING**

To agree the non-public minutes of the previous meeting held on 30 November 2021.

For Decision
(Pages 109 - 114)

17. **NON-PUBLIC QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**

18. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT AND WHICH THE COMMITTEE AGREE SHOULD BE CONSIDERED WHILST THE PUBLIC ARE EXCLUDED**

Part 3 - Confidential Agenda

19. **CONFIDENTIAL MINUTES OF THE PREVIOUS MEETING**

To agree the confidential minutes of the previous meeting held on 30 November 2021.

For Decision